

## ORIGINAL ARTICLE

## Role of Trans-Arterial Chemoembolization (Tace) In Patients with Unresectable Hepatocellular Carcinoma

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### ABSTRACT:

**Background:** Portal vein thrombosis is considered a relative contraindication for transarterial chemoembolization (TACE) in hepatocellular carcinoma. The purpose of our study was to evaluate the efficacy of TACE treatment in patients with hepatocellular carcinoma with portal vein (PV) thrombosis. **Material and methods:** HCC patients reporting to our hospital (2001-2007) were subjected to clinical, biochemical, and radiological examination. TACE was performed in those who fulfilled the inclusion criteria. Follow-up assessment was done with multiphase CT scan of the liver at 1, 3, and 6 months. Tumor response and survival rate were estimated. Univariate and multivariate analyses were done for determinants of survival. **Results:** Out of 70 patients included in the study; 39 were male (55.7%) and remaining 31 were female (44.3%). Patients were assessed for tumor response by imaging at regular intervals and the data compared with the baseline laboratory and imaging characteristics obtained before treatment. Univariate analysis was used to assess the treatment impact on patient survival. Survival analysis was performed using Kaplan-Meier estimations. **Conclusion:** TACE offers a reasonable palliative therapy for HCC. Initial tumor size is an independent predictor of survival.

**Keywords:** Hepatocellular carcinoma, survival rate, transarterial chemoembolization

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### INTRODUCTION

Hepatocellular carcinoma (HCC) is the most common primary malignancy of the liver. It is strongly associated with cirrhosis, from both alcohol and viral etiologies. HCC constitutes approximately 5% of all cancers partly due to the high endemic rates of hepatitis B infection. HCC is the fifth most common cancer in the world and is the third most common cause of cancer-related death (after lung and stomach cancer). The incidence of HCC is rising, largely attributed to a rise in hepatitis C infection. The demographics are strongly influenced by the regions in which chronic hepatitis B infection is common, which account for over 80% of cases worldwide. The highest prevalence is in Asia. In Western countries, the rate is lower and alcohol accounts for a greater proportion of cases<sup>1-4</sup>.

Risk factors include:

- hepatitis B (HBV) infection: 10% 5-year cumulative risk
- hepatitis C (HCV) infection: 30% 5-year cumulative risk
- alcoholism: 8% 5-year cumulative risk
- biliary cirrhosis: 5% 5-year cumulative risk
- food toxins, e.g. aflatoxins
- congenital biliary atresia
- inborn errors of metabolism
- haemochromatosis: ~20% 5-year cumulative risk
- alpha-1 antitrypsin deficiency
- type 1 glycogen storage disease

- Wilson disease
- tyrosinaemia type I
- obesity and diabetes mellitus
- chronic cholestatic syndromes

HCC is typically diagnosed in late middle age or elderly adults (average 65 years) and is more common in males (75% cases). The tumor can also occur in the pediatric population; however, it is the second most common pediatric primary liver tumor after hepatoblastoma. Unfortunately, the diagnosis of HCC is too often made with advanced disease when patients have become symptomatic and have some degree of liver impairment. At this late stage, there is virtually no effective treatment that would improve survival.<sup>5,6</sup> In addition, the morbidity associated with therapy is unacceptably high. The most commonly used initial treatment for locoregional HCC as well as for downstaging tumors that exceed criteria is TACE. TACE can also be considered prior to HR and RFA as neoadjuvant therapy to either reduce tumor volume or even target micrometastasis. The rationale for using TACE is the neoangiogenic properties of HCC and its mechanism of action on the hepatic arterial supply of the tumor. During its initial development, the tumor derives its blood flow from the portal system. As the tumor increases in size, the blood supply becomes arterialized, so even a well-differentiated HCC is mostly dependent on hepatic arterial supply. This tumor characteristic provides the pathologic basis for the radiologic features used to

diagnose HCC. Embolization of the hepatic artery branch leads to selective tumor hypoxia and eventually tumor necrosis. This is accomplished by a significant reduction in arterial blood flow through the use of image-guided catheter-based infusion of particles.<sup>7,8</sup> Potential agents including polyvinyl alcohol beads, alcohol, starch microspheres, metallic coils, autologous blood clots, and gelfoam have all been used for embolization. Prior to arterial embolization, a chemotherapeutic agent is injected. Several chemotherapeutic agents have been historically used, including doxorubicin, cisplatin, mitomycin, and epirubicin. In addition, doxorubicin-eluting beads have recently become an alternative to traditional TACE. Drug-eluting beads are considered an improvement in both treatment response rates and tumor necrosis compared to traditional TACE. Contraindications for TACE are decompensating cirrhosis (Child-Pugh B), massive tumor with extensive replacement of both lobes, severely reduced portal flow (portal vein occlusion or hepatofugal blood flow), and a creatinine clearance of <30 mL/min. Llovet et al found that survival probabilities for TACE were 82% and 63% for 1 and 2 years, respectively, for unresectable HCC. The response to TACE is an independent predictor of survival. Additional studies have shown an improvement in survival in TACE-treated patients in the range of 20%–60% at 2 years. Morbidity with embolization is relatively low (<5%), and common complications include abdominal pain, nausea, ileus, and fever, which are consistent with postembolization syndrome. Historically, portal vein tumor thrombosis has been considered a contraindication to the performance of TACE therapy. This interruption of hepatic arterial blood flow which can lead to significant hepatic necrosis when combined with a portal vein occlusion from tumor thrombus which already compromised blood flow to the affected area of the liver. Several prospective and reactive retrospective studies have shown that TACE can improve overall survival in Child-Pugh's A cirrhotic HCC patients with portal vein tumor thrombosis. Furthermore, the combination of TACE and sorafenib may have synergistic value<sup>9,10</sup>.

## MATERIAL & METHODS

### Patient selection

This study included (70) patients presented with suspected hepatocellular carcinoma. All patients were

submitted to history taking and clinical provisional diagnosis and each patient underwent blood investigations, which included complete blood count, liver function tests, and tests for viral markers of hepatitis B and C infection. Serum alpha-fetoprotein (AFP) was estimated using a particle enzyme immunoassay (AxSYM System; Abbott Laboratories, Abbot Park, Illinois, USA; normal value <20 ng/ml). TACE was offered to BCLC-B/C HCC patients who fulfilled the following inclusion criteria: patients with associated Child's A or B cirrhosis, normal main portal vein, less than 50% involvement of liver by HCC, and patients willing for therapy and follow-up. Some patients of BCLC A, who were unsuitable for ablative therapy or surgery, were also included.

The exclusion criteria included extra hepatic disease; coagulopathy; biliary obstruction; comorbid illness like coronary artery disease, congestive heart failure, chronic renal failure, etc.; and a previous history of encephalopathy/upper gastrointestinal bleed in the last 6 months.

### Statistical analysis

Various pulmonary function parameters were considered as primary outcome variables. Presence or absence of exposure to air pollution and duration of air pollution was the primary explanatory variable. Descriptive analysis of the data was done by using frequency and percentage for categorical variables, mean and standard deviation for quantitative variables. The mean values of the pulmonary function parameters were compared among various study groups. Analysis of variance (ANOVA) was used to assess the statistical significance of the association. P value 0.05 was considered as statistically significant. IBM SPSS version 21 was used for statistical analysis.

## RESULTS

Out of 70 patients included in the study; 39 were male (55.7%) and remaining 31 were female (44.3%). Their mean age was 57 years (range 16–74 years). DWI was performed on 73 (81.6%) patients. The distribution of findings is shown in Figure 1. The patients were divided into seven groups on the basis of ages: 10-19, 20-29, 30-39, 40-49, 50-59, 60-69, and 70-79 years and are designated as group I - VII. The demographic characteristics of the patients were studied: gender, age, and comorbidities results are shown in table 1.

**Table 1: Demographic data of patients**

Age (Years)	Benign	Malignant	Total
10-19	8	8	16
20-29	6	8	14
30-39	5	7	12
40-49	5	5	10
50-59	3	5	8
60-69	1	5	6
70-79	-	4	4

<b>Total</b>	<b>28</b>	<b>42</b>	<b>70</b>
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After demographic analysis, we did not observe any significant difference in the distribution of age; sex; expression of HBsAg; ALT, AST, TBil, ALB, PT, and AFP levels; Child-Pugh class; maximum HCC size; number of HCC foci; Barcelona Clinic Liver Cancer (BCLC) stage; extrahepatic metastasis; vascular invasion; and APF/AVF between the 2 groups (Table 2).

The procedure of TACE was well tolerated by all our patients. No complications were encountered during the procedure and the postprocedure complications were mild. Postembolization syndrome was the most common complication in 10/73 (13.6%), which consisted of pain abdomen, fever, nausea, and vomiting. Deranged renal parameters in 10/73

(13.6%) patients and hepatic failure in 3/73 (4.1%) subjects were also encountered.

Table 2 shows the comparison of background factors and results of univariate analysis using Cox proportional hazard model. The presence of associated features of portal hypertension (ascites, splenomegaly, etc.) did not have any significant effect on survival. The variables of Child's stage, AFP >1000ng/ml, size of the mass, and BCLC stage showed significant promise of association with mortality ( $P < .05$ ) on univariate analysis [Table 2]. These variables were put in a stepwise multivariate Cox regression model, and the size of the mass at the start of the treatment emerged as the most significant independent predictor of survival.

<b>Measured Properties</b>	<b>Frequency</b>	<b>Percentage</b>
<b>Presenting Symptoms</b>		
Asymptomatic	11	15
Pain	32	44
Weight Loss	25	34.2
Anorexia	23	31.5
Abdominal Distension	14	19.1
Abdominal Mass	7	9.5
Fever	7	9.5
<b>Child's Class</b>		
A	54	74
B	19	26
<b>Etiological Factors</b>		
HBV	52	71.2
HCV	11	15.0
<b>AST (IU/L)</b>		
<40	11	15
>40	62	84
<b>ALT (IU/L)</b>		
<40	23	31.5
>40	50	68.5
<b>AFP ng/ml</b>		
<20	29	40
21-300	18	25
300 -1000	12	16.5
>1000	14	20
<b>BCLC Stage</b>		
A	20	27.4
B	38	52.05
C	15	20.5
<b>Size of HCC</b>		
<5cm	28	38.3
5-10cm	28	38.3
>10cm	17	23.29

## **DÍSCUSSIÓN**

TACE is the most widely used treatment option in patients with HCC who are unsuitable candidates for curative management. The developing world has a peculiar epidemiological variation in terms of etiology and the stage of HCC at diagnosis; more than 80% of the HCC occurs in Asia and Africa<sup>11</sup>.

In this study, HBV infection emerged as the most common background causal factor for HCC. This is consistent with the observations of published studies from other centers in India. In contrast, in countries like Japan, Spain, etc., HCV-related HCC is predominantly encountered. The majority of our patients were symptomatic at presentation (66/73

patients; 90.41%) and had a relatively large tumor size at the outset, indicating the presence of advanced disease. Treating these patients was very challenging. The largest published experience of TACE from Japan, with a study population of 8510 patients, had subjects with smaller sized tumors (24% with <2 cm and 75% with <5 cm). Very few studies are available on the experience of TACE for relatively larger sized liver tumors (mean diameter approximately 7 cm)<sup>12</sup>.

Doxorubicin, mitomycin, and cisplatin are the common antitumor drugs used alone or in combination during TACE. No standardized protocol exists with regard to the choice of the chemotherapeutic agent, dosage, dilution, rate of injection, and optimal re-treatment strategy. Similarly, there is no standard choice for the embolizing agent to be used or its quantity. In the present study, we used a combination of cisplatin (100 mg), doxorubicin (50 mg), and lipiodol (10–20 ml), followed by particulate embolization using gelatin sponge. The procedure was performed by cannulating the feeding artery superselectively (going as close to the tumor as possible using microcatheters), thus minimizing the risk of non-target embolization. This method of super selective cannulation has been identified as a favorable prognostic factor for the disease-free survival of patients following TACE<sup>13</sup>.

Our patients tolerated the procedure well. The commonly encountered minor complications post-procedure were self-limiting and improved in about 5–7 days. Moreover, when a repeat session of TACE was performed in the same patients, we observed that the severity of the side effects was even less. About 13.7% of our patients developed deranged renal parameters, which possibly could be attributed to the use of the chemotherapeutic drug doxorubicin<sup>14</sup>.

TACE is known to be a safe procedure with a low mortality rate and, further, the mortality has been decreasing over the last two decades (reportedly 10% in 1991, 1.1% in 1999, and 0.5% in 2006). In the largest published experience of TACE, the various causes of death were as follows: hepatic failure (40.1%), cancer death (18.2%), and rupture of HCC. We lost two patients within 1 month of performing the procedure due to hepatic and renal failure (one each), leading to a procedure-related mortality of 2.7%. We did not encounter any case of rupture of HCC or infections following TACE as reported by other authors<sup>15,16</sup>.

There seems to be no consensus on the policy of subjecting patients to repeat sessions of TACE. Some centers perform repeat TACE at specific intervals, ranging from 2–3 months. We performed repeat sessions of TACE based on the findings of follow-up CT done at 4 weeks post therapy. This policy was similar to that followed in the nationwide multicentric Japanese study by Takayasu *et al.* It is known that the efficacy of TACE is better when the procedure is repeated on the basis of follow-up imaging findings rather than at pre decided scheduled intervals<sup>17–20</sup>.

Following TACE, significant tumor response is achieved in 17–61.9% of cases but complete tumor response is rare (0–4.8%) as the tumor cells may remain viable after the treatment of TACE.

We were able to achieve complete response in 31.2% patients, while local disease progression in terms of recurrence or development of fresh lesions was seen in 15/64 (23.4%) patients. Efficacy of TACE for palliation of unresectable HCC has been demonstrated in several randomized controlled trials. The survival rate and the local response in our study were encouraging. The cumulative survival rate at 1, 2, and 3 years was 66%, 47%, and 36.4%, respectively. Table 3 shows the survival rates of different studies and it can be seen that the rates have been improving over the last two decades. The improved outcomes of HCC following TACE in the more recent studies may have a number of reasons, e.g., (a) the institution of screening programs for HCC, leading to detection of small tumors; (b) the availability of better imaging techniques for diagnosis, i.e., modalities with high sensitivity and specificity such as multiphase CT scan and contrast-enhanced MRI; (c) stringent application of well-defined staging criteria for the disease; (d) clear-cut inclusion criteria, leading to homogenous study populations; (e) refinement in the technique of the procedure of TACE, e.g., the wide use of tiny microcatheters allows the catheter tip to be placed as distally as possible in the lumen resulting in better coverage of the tumor with the chemotherapeutic drugs<sup>21,22</sup>.

The overall survival rate in our study compares well or is in fact better than that in many earlier studies from different countries. Due to differences in the selection criteria, our study population probably had a larger tumor size and more advanced stage of disease. Developed countries have screening programs for HCC, which enable 'early detection and early treatment' and these countries therefore generally deal with patients with early-stage HCC<sup>23</sup>.

Univariate analysis of the predictors for survival identified the Child-Pugh score, serum AFP >1000 ng/ml, BCLC stage, and tumor size as important variables affecting survival post TACE. All these above mentioned variables are basically interrelated and depict the advanced nature of the disease. The larger the tumor size, the higher the BCLC stage and the poorer the function of the underlying liver (Child's status). However, the presence of vascular invasion and associated portal hypertension did not show any significant effect on the overall survival. On multivariate analysis tumor size emerged as the single most important independent predictor of survival. This finding is similar to the observations made in other studies<sup>24</sup>.

Since the size of the mass is an important predictor for survival, this observation has grave implications in a country like ours where the majority of patients have large tumors at diagnosis. In India, screening programs for HCC are rare. For better treatment

outcomes it is important to commence screening high-risk patients of cirrhosis to diagnose HCC at an early stage. Additionally, since HBV infection is the predominant cause for HCC, it would be highly desirable to institute preventive strategies for HBV infection, e.g., hepatitis B vaccination programs<sup>25</sup>. To conclude, TACE is a safe and efficacious palliative procedure. In India, the majority of patients have advanced disease at presentation. Despite the presence of large-sized tumors in our study population, TACE showed favorable local outcome and the survival rates were comparable with those reported by other authors. Initial tumor size was the most important independent predictor of survival in our patients of HCC<sup>26,27</sup>.

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